

Eligibility for Application:

To be eligible for applying 10% withholding tax rate of U.S. stocks dividend, clients must be 1. Chinese nationals and 2. currently residing in Mainland China (other than Hong Kong & Macau). Note: The withholding tax rate of U.S. stocks dividend could be as high as 30% in some regions.

Application & W-8BEN Form Quick Reference Guide:

Eligible clients need to fill in the latest version of W-8BEN Form from the U.S. Department of the Treasury Internal Revenue Service, you may click here for guidance on filling out the form. Please return the completed W-8BEN Form to your Sales or Account Executive for handling.

Remarks: In case of any disputes, Shenwan Hongyuan (H.K.) Limited reserves the right to make the final decision on the application.

Should you have any queries, please contact your Sales or Account Executive or our Customer Service Hotline at (852) 2250 8298 (Hong Kong) / 400-120-0363 (China Toll Free) or via email customer.service@swhyhk.com.

Shenwan Hongyuan Securities (H.K.) Limited

Before making any investment decision, investors should carefully consider their own circumstances, risk tolerance level, investment experience and investment objectives. If they are in doubt, they should seek independent professional advice





