

Notice to Clients relating to the Personal Information Protection Law of the People's Republic of China*

Dear Clients,

The "Personal Information Protection Law of the People's Republic of China" (the "Law") came in force on November 1, 2021. This is the first law for the protection of personal information in China. As a client of Shenwan Hongyuan Securities (H.K.) Limited, Shenwan Hongyuan Futures (H.K.) Limited or Shenwan Hongyuan Asset Management (Asia) Limited (collectively "Shenwan Hongyuan"), Shenwan Hongyuan may use your personal information in providing services to you. Therefore, we shall observe the principles of legality, propriety, necessity, sincerity, the least impact and openness and transparency for handling personal information of our clients.

To ensure Shenwan Hongyuan meeting the requirements of the Law, we have made the following efforts, including but not limited to, collecting personal information in a way that has the least impact on the personal rights and interests of clients; following the principles of openness and transparency to process clients' personal information (clients can check the details of our privacy policy for handling personal information through our [official website](#), and please note that we will revise or update our privacy policy from time to time); striving to ensure that our clients' personal information is accurate and complete; adopting a series of necessary measures (such as multiple firewalls, encrypted data transmission, access control) to protect the security of clients' personal information.

Lastly, thank you for your trust in Shenwan Hongyuan and we will protect your personal information carefully and continuously in the future in accordance with the requirements of the Law. If you have any enquiries, please call the Customer Service Hotline at (852) 2250 8298 (Hong Kong) or 400-120-0363 (China toll free) or email to customer.service@swwhyhk.com or mail to the Group Data Protection Officer at Level 19, 28 Hennessy Road, Hong Kong.

* The "Personal Information Protection Law" only applies to personal information protection of residents in the Chinese mainland.

Yours faithfully,
Shenwan Hongyuan Securities (H.K.) Limited
Shenwan Hongyuan Futures (H.K.) Limited
Shenwan Hongyuan Asset Management (Asia) Limited
21 February 2021