

致 貴客戶：

由 2023 年 1 月 1 日起，美股交易收取的 FINRA 交易費將作以下調整：

	徵收方	舊收費	新收費
FINRA 交易費*	FINRA	每股 0.000130 美元 (每單交易最高收費 6.49 美元)	每股 0.000145 美元 (每單交易最高收費 7.27 美元)

\*僅賣出時收取

詳情請參閱：

<https://www.finra.org/rules-guidance/rulebooks/corporate-organization/section-1-member-regulatory-fees>

如有任何查詢，請聯絡您的客戶服務經理，或致電顧客服務部熱線 (852) 2250 8298 或全國免費電話 400-120-0363 或電郵至 [customer.service@swwhyhk.com](mailto:customer.service@swwhyhk.com)。

客戶服務部

申萬宏源證券(香港)有限公司 謹啟

Dear Customer,

Effective from Jan 1 2023, the FINRA trading activities fee on U.S. Stock Trading will be adjusted as below:

	Charged by	Previous Fees	New Fees
FINRA Trading Activities Fee*	FINRA	USD 0.000130 per shares (Maximum USD6.49 per trade)	USD 0.000145 per shares (Maximum USD7.27 per trade)

\*for US stock sell transactions

For the details, please refer to

<https://www.finra.org/rules-guidance/rulebooks/corporate-organization/section-1-member-regulatory-fees>

Should you have any queries, please contact your Sales or Account Executive or our Customer Service Hotline at (852) 2250 8298 (Hong Kong) / 400-120-0363 (China Toll Free) or via email [customer.service@swwhyhk.com](mailto:customer.service@swwhyhk.com).

Yours sincerely,

Customer Service Department  
Shenwan Hongyuan Securities (H.K.) Limited

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