

致 貴客戶：

由 2023 年 1 月 1 日起，美股交易收取的 FINRA 交易費將作以下調整：

	征收方	旧收费	新收费
FINRA 交易費*	FINRA	每股 0.000130 美元 (每单交易最高收费 6.49 美元)	每股 0.000145 美元 (每单交易最高收费 7.27 美元)

*仅卖出时收取

详情请参阅：

<https://www.finra.org/rules-guidance/rulebooks/corporate-organization/section-1-member-regulatory-fees>

如有任何查询，请联络您的客户服务经理，或致电顾客服务部热线 (852) 2250 8298 或全国免费电话 400-120-0363 或电邮至 customer.service@swwhyhk.com。

客户服务部

申万宏源证券（香港）有限公司 谨启

Dear Customer,

Effective from Jan 1 2023, the FINRA trading activities fee on U.S. Stock Trading will be adjusted as below:

	Charged by	Previous Fees	New Fees
FINRA Trading Activities Fee*	FINRA	USD 0.000130 per shares (Maximum USD6.49 per trade)	USD 0.000145 per shares (Maximum USD7.27 per trade)

*for US stock sell transactions

For the details, please refer to

<https://www.finra.org/rules-guidance/rulebooks/corporate-organization/section-1-member-regulatory-fees>

Should you have any queries, please contact your Sales or Account Executive or our Customer Service Hotline at (852) 2250 8298 (Hong Kong) / 400-120-0363 (China Toll Free) or via email customer.service@swwhyhk.com.

Yours sincerely,

**Customer Service Department
Shenwan Hongyuan Securities (H.K.) Limited**

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