

Dear Sir / Madam,

**Re.: Purchase orders of US Affected Securities will be rejected**

As informed by our US market execution broker, starting from close of business on Friday, 8 January 2021 (US time), all purchase orders of the following securities (“Affected Securities”) will be rejected. Only sell orders solely for the existing positions of the Affected Securities are allowed afterwards. We have summarized the affected securities as below. The list of Affected Securities will be reviewed and updated from time to time.

**Affected Securities Traded Via New York Stock Exchange:**

Company Name	Symbol
CNOOC Ltd	CEO
*^China Mobile Ltd	CHL
*^China Telecom Corp Ltd	CHA
*^China Unicom Hong Kong Ltd	CHU

\*To be delisted at the close of business on Friday, 8 January 2021 (US time)

**Affected Securities Traded Via OTC US:**

Company Name	Symbol
AVIC International Holding HK Ltd	AVITF
AviChina Industry & Technology Co Ltd	AVIJF
CGN New Energy Holdings Co Ltd	CGEGF
CGN Power Co Ltd	CGNWF
China Aerospace International Holdings Ltd	CHAEF
China Communications Construction Co Ltd	CCCGF / CCCGY
China Jinmao Holdings Group Ltd	CJNHF / FRSHY
China Mobile Ltd	CHLKF
China Overseas Land & Investment Ltd	CAOVY
China Telecom Corp Ltd	CHJHF
China Unicom Hong Kong Ltd	CHUFF
CRRC Corp Ltd	CRCCY / CRRRF
Datang International Power Generation Co Ltd	DIPGF / DIPGY
Elkem ASA	ELKEF
Far East Horizon Ltd	FEHZY / FEZHF
Inspur International Ltd	INPRF
KHD Humboldt Wedag International AG	KHDHF
Nanjing Panda Electronics Co Ltd	NJGPF
Pirelli & C SpA	PLLIF / PRLLY
Rio Paranapanema Energia SA	DEIPY / DEIWY
^Semiconductor Manufacturing International Corp	SIUIF / SMICY
Sinofert Holdings Ltd	SNFRF / SNFRY

^Trading of these securities will be rejected and outstanding orders will be cancelled after the close of business on Tuesday, 5 January 2021 (US time)

Should there be any questions, please contact your Sales/Account Executive or our Customer Service Hotline at (852) 2250 8298 (Hong Kong) / 400-120-0363 (National Free) or via email [customer.service@swwhyhk.com](mailto:customer.service@swwhyhk.com).

Yours faithfully,  
 Shenwan Hongyuan Securities (H.K.) Limited