

Shenwan Hongyuan Securities (HK) Limited 申萬宏源證券(香港)有限公司

SLT/31/06-22

Securities Settlement Instruction (Bond Market) 證券交收指示 (債券市場)

 Note: Please tick where applicable 請在正確選項的方格中加剔號

Account Name(Number)帳戶名稱(號碼) _____ (_____)

Clearing House清算行

 CLEARSTREAM明訊銀行 EUROCLEAR歐洲清算銀行 OTHER其他 (Please specify請列出) _____

Clearing House Account Name and Number清算行帳戶名稱及號碼: _____

Trade Date交易日期: _____ Settlement Date交收日期: _____

Contact Person聯絡人: _____ Telephone聯絡電話: _____

Email電郵: _____

Instruction 指示	Settlement Type 交收方式	ISIN 國際代碼	Bond Name 債券名稱	Nominal 面額	Currency and Settlement Amount 交收貨幣及金額
<input type="checkbox"/> Receive 收取 <input type="checkbox"/> Delivery 轉出	<input type="checkbox"/> Free of Payment 無需付款 <input type="checkbox"/> Against Payment 貨銀對付				
<input type="checkbox"/> Receive 收取 <input type="checkbox"/> Delivery 轉出	<input type="checkbox"/> Free of Payment 無需付款 <input type="checkbox"/> Against Payment 貨銀對付				
<input type="checkbox"/> Receive 收取 <input type="checkbox"/> Delivery 轉出	<input type="checkbox"/> Free of Payment 無需付款 <input type="checkbox"/> Against Payment 貨銀對付				
<input type="checkbox"/> Receive 收取 <input type="checkbox"/> Delivery 轉出	<input type="checkbox"/> Free of Payment 無需付款 <input type="checkbox"/> Against Payment 貨銀對付				
<input type="checkbox"/> Receive 收取 <input type="checkbox"/> Delivery 轉出	<input type="checkbox"/> Free of Payment 無需付款 <input type="checkbox"/> Against Payment 貨銀對付				
<input type="checkbox"/> Receive 收取 <input type="checkbox"/> Delivery 轉出	<input type="checkbox"/> Free of Payment 無需付款 <input type="checkbox"/> Against Payment 貨銀對付				

Date日期: _____

Signature(s) of Customer or Authorised Person (with Company chop where appropriate)
客戶簽署或授權人仕簽署 (公司蓋章)

For Official Use Only

Opening account balance Cr/(Dr) _____

Transaction amount + Charges Cr/(Dr) _____

Closing balance Cr/(Dr) _____

For Margin Account :

Margin Value after transfer _____

Available/(Margin Call) amount after transfer _____

Market Value _____ M% _____

SI Handling Charges _____

SI Fee _____

Others _____

Total : _____

Stock in/out Authorised by _____

Cash in/out Authorised by _____

Credit Approved by _____

Verify Signature _____ Confirmed with Client / Sales _____ Input system _____

Approved by Dealing Responsible Officer (if necessary) _____ Checker _____

Authorisation _____ Reviewed Status _____