

To: Shenwan Hongyuan Securities (HK) Limited 申萬宏源證券(香港)有限公司

SLT/05/05-15

Securities Settlement Instruction 證券交收指示

Account Name (Number) _____ (_____)

Please receive the following securities for my/our above account 請將下列證券接收到本人/吾等上述證券帳戶

Please deliver the following securities for my/our above account 請由本人/吾等上述證券戶口交付下列證券

Please input the following Settlement Instructions (SI) / (ISI) into the Central Clearing and Settlement System (CCASS)

To effect settlement with details as follows 請將下列交收指示輸入中央結算交收系統以便進行交收 :

Counter party name (CCASS number) 對手名稱(中央結算公司號碼) : _____ (_____)

For deposit into my/our account with them, account number 存入本人/吾等在對手經紀開設之帳戶, 帳戶號碼 _____

Free of Payment 無須付款交收

Against Payment Amount 貨銀對付交收

<u>Settlement Date</u>	<u>Stock Code</u>	<u>Stock Name</u>	<u>Quantity</u>		<u>Stock/Cash Input Ref.</u>
交收日期	股票號碼	股票名稱	數量		
_____	_____	_____	_____	HKD _____	_____ / _____
_____	_____	_____	_____	HKD _____	_____ / _____
_____	_____	_____	_____	HKD _____	_____ / _____
_____	_____	_____	_____	HKD _____	_____ / _____
_____	_____	_____	_____	HKD _____	_____ / _____
_____	_____	_____	_____	HKD _____	_____ / _____
_____	_____	_____	_____	HKD _____	_____ / _____

Signature(s) of Customer or Authorised Person (with Company chop where appropriate)

客戶簽署或授權人仕簽署 (公司蓋章)

For Official Use Only

		SI / ISI Handling Charges	HKD _____
Opening account balance	Cr/(Dr) _____	SI / ISI CCASS Fee	HKD _____
Transaction amount + Charges	Cr/(Dr) _____	Others	HKD _____
Closing balance	Cr/(Dr) _____	Total :	HKD _____

For Margin Account :

Margin Value after transfer	_____	Stock in/out Authorised by	_____
Available/(Margin Call) amount after transfer	_____	Cash in/out Authorised by	_____
Market Value _____	M% _____	Credit Approved by	_____

Verify Signature _____ Confirmed with Client / Sales _____ Input CCASS system _____

Approved by Dealing Responsible Officer (if necessary) _____ Checker _____

CCASS Authorisation _____ Reviewed CCASS Status _____