

Shenwan Hongyuan Securities (H.K.) Limited 申萬宏源證券(香港)有限公司
Shenwan Hongyuan Futures (H.K.) Limited 申萬宏源期貨(香港)有限公司
 (Collectively known as "Shenwan Hongyuan" or "you") (統稱「申萬宏源」或「貴公司」)

Opting Out / Reactivation of Receiving Prompt Internet Trade Execution Notification

選擇不收取/重新啟動執行網上交易即時通知

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| Account Name 帳戶名稱: | | | |
| Securities Account No. (if applicable) 證券帳戶號碼 (如適用): | | | |
| Futures Account No. (if applicable) 期貨帳戶號碼 (如適用): | | | |
| Please tick and select the appropriate (請以 <input checked="" type="checkbox"/> 選擇) | | | |
| <input type="checkbox"/> | Opting out of receiving prompt internet trade execution notification ("Opt Out of Receiving Notification") 選擇不收取執行網上交易即時通知 ("不收取網上交易通知") | | |
| Risk Disclosure Statement 風險披露聲明: 1. As a result of Opt Out of Receiving Notification, hacking risks and internet trading risk of the account may be increased significantly. 選擇不收取網上交易通知可能會大幅增加帳戶被黑客入侵風險和互聯網交易風險。 2. The increase in hacking risks, internet trading risks or otherwise as a result of Opt Out of Receiving Notification may cause huge losses or damages. Any loss or damages whatsoever and howsoever suffered or incurred as a result of Opt Out of Receiving Notification will be solely and wholly borne by the account holder. 選擇不收取網上交易通知會引致黑客入侵和互聯網交易風險增加，可能會導致重大損失或損害。帳戶持有人須為選擇不收取網上交易通知而遭受的任何損失或損害負上全部責任。 3. Without prompt internet trade execution notification, you may fail to know and recognize the account being hacked. As such, you may not be able to take prompt action and prevent hackers from effecting unauthorized transactions and/or stop abnormal activities in your account in time. 如沒有執行網上交易即時通知，帳戶持有人可能無法知道和察覺到帳戶已被黑客入侵。因此，帳戶持有人可能無法及時採取行動，以防止黑客在帳戶中進行未經授權的交易或停止異常活動。 | | | |
| I/We hereby inform Shenwan Hongyuan that I/we would like to opt out of receiving prompt notification of internet trade execution. 本人/吾等現特此通知貴公司，本人/吾等選擇不收取執行網上交易即時通知。 I/We hereby declare that I/we have read, understood and agreed the risks involved as mentioned in the above Risk Disclosure Statement. 本人/吾等謹此確認就有關選擇，本人/吾等已閱讀，理解及同意上述之風險披露聲明。 I/We understand that my/our account may incur losses from the Opt Out of Receiving Notification. I/We shall wholly be responsible for any disputes, losses, liabilities and the relevant risks, which may arise from the Opt Out of Receiving Notification and I/we will indemnify Shenwan Hongyuan against any losses and liabilities from the Opt Out of Receiving Notification. 本人/吾等明白其帳戶可能因選擇不收取網上交易通知而招致損失。本人/吾等願意承擔可能因不收取網上交易通知，而引致之任何爭議、損失、責任及有關風險，同時，本人/吾等願意彌償貴公司一切因不收取網上交易通知而帶來之任何損失及責任。 | | | |
| <input type="checkbox"/> | Request to reactivate the prompt internet trade execution notification 要求重新啟動執行網上交易即時通知 Choose notification language (For Futures Account Only): <input type="checkbox"/> Chinese <input type="checkbox"/> English (Default) 選擇通知語言(只限期貨戶): <input type="checkbox"/> 中文 <input type="checkbox"/> 英文 (默認) | | |
| I/We hereby understand and agree that the trade execution notification will be sent to the registered email address with Shenwan Hongyuan. 本人/吾等明白及同意網上交易通知將會發送到本人/吾等已登記在貴公司之電郵地址。 | | | |

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| Signature of Client(s) 客戶簽署: |
| Date 日期: |

| For Office Use Only | | | | | |
|---|---|--|---------------|-------------|--|
| <input type="checkbox"/> Client's signature verified (Doc Con Dept) | <input type="checkbox"/> Record updated for Securities Account (Don Con Dept) | <input type="checkbox"/> Record updated for Futures Account (Doc Con Dept) | | | |
| Verified by: | Processed by: | Checked by: | Processed by: | Checked by: | |
| Date: | Date: | | Date: | | |