

CHANGE OF CLIENT DATA FORM

To: Shenwan Hongyuan Securities (H.K.) Limited / Shenwan Hongyuan Futures (H.K.) Limited

 Individual client can experience simple and convenient information amendment service (except mobile, bank information and identity document information) by login your 'Consolidated e-service' account at <https://portal.sywg-online.com>. This online service is not applicable to Joint or Corporate A/C Clients.

 Name of Client _____
 Account No. _____
 Effective Date _____

Please update the following change(s) to the records of all the account(s) maintained with Shenwan Hongyuan Securities (H.K.) Limited and Shenwan Hongyuan Futures (H.K.) Limited and / or other member company of the Shenwan Hongyuan Group ("Shenwan Hongyuan Group" means Shenwan Hongyuan (H.K.) Limited and its subsidiaries) (collectively, the "Company") under the Name of Client above. I/We confirm that such change(s) shall also apply to all documents I/we previously executed without any effect on the legality of such documents. I/We declare that all information provided in this CHANGE OF CLIENT DATA FORM ("Form") and all supporting and relevant documents submitted by me/us to your Company in relation to this Form is true, complete, accurate and up-to-date. Your Company is entitled to rely fully on such information for all purposes unless your Company has received actual prior notice in writing of any change from me/us. I/We undertake that I/we shall advise your Company forthwith any changes to the information supplied in this Form.

Please complete only those details to be changed in BLOCK LETTERS and tick where applicable
Contact Information

Change Address	<input type="checkbox"/> Residential Address (Applicable to Individual/Joint Account) <input type="checkbox"/> Registered Office Address (Applicable to Corporate Account) <input type="checkbox"/> Business Address (Applicable to Corporate Account) <input type="checkbox"/> Correspondence Address (Applicable to Individual/Joint/Corporate Account) (Please select the address type. More than one option can be chosen.)			
				Postal Code: _____
Note : 1. For clients who change residential address, please also provide address proof issued within 3 months. 2. If the new address involves a change of country, please also fill in "Updating Tax Residency Information"				
Change Phone Number	Mobile Tel No. <input type="checkbox"/> Add <input type="checkbox"/> Change <input type="checkbox"/> Delete			
	Home Tel No. <input type="checkbox"/> Add <input type="checkbox"/> Change <input type="checkbox"/> Delete			
	Office Tel No. <input type="checkbox"/> Add <input type="checkbox"/> Change <input type="checkbox"/> Delete			
Change Email Address	Email Address <input type="checkbox"/> Add <input type="checkbox"/> Change <input type="checkbox"/> Delete			
Statement Related	Method of Account Statement Collection (Please tick one)	<input type="checkbox"/> By Email* <input type="checkbox"/> By Post	Statement Language	<input type="checkbox"/> Chinese <input type="checkbox"/> English
	* Note : I/We acknowledge and agree that should the email statement be returned or undelivered, you will send all statements to me/us by post until the receipt of further instructions. Please note that monthly postal fee will be charged by the end of each month if statements are sent by post.			

Bank Information

	Currency	Bank Name	Bank Account No.	Bank Account Name
<input type="checkbox"/> Add	<input type="checkbox"/> HKD			
<input type="checkbox"/> Change	<input type="checkbox"/> Multi-currency			
<input type="checkbox"/> Delete	<input type="checkbox"/> Other (_____)			
<input type="checkbox"/> Add	<input type="checkbox"/> HKD			
<input type="checkbox"/> Change	<input type="checkbox"/> Multi-currency			
<input type="checkbox"/> Delete	<input type="checkbox"/> Other (_____)			

Note :The Bank Account Name must be the same as the name of account holder. Please provide supporting document of the registered bank account, e.g. bank statement.

Update of Identity Document Information

(Applicable to Individual/Joint Client)				(Applicable to Corporate Client)			
Hong Kong Identity Card No.**				Legal Entity Identifier (LEI)			
Other Identity Card No.**		Place of Issue		Certificate of Incorporation No.**		Place of Issue	
Passport No.**		Place of Issue		Business Registration Certificate No.**		Place of Issue	

** Note: Please provide the supporting document certified by SFC licensed staff of our Company, Justice of the Peace, Branch Manager of a Bank, Certified Public Accountant, Lawyer, Notary Public or Chartered Secretary.

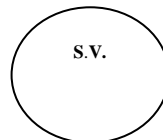
Update Statement Issue Batch (Applicable for futures client who receives statement by email ONLY)

Email statement will be issued after market close of Global Futures (normally 10:00am to 12:00noon HKT on the next day) once you are engaged in global futures transactions. If you only trade within the HK Futures market, you can choose to receive email statement at an earlier time by ticking below:

 Update Statement Issue Batch : Issue statement after close of HK Futures market (normally at 8:00pm HKT on the same business day to 12:00am HKT on the next day)

For Office Use Only

If the change of address and identity document involves change of country or nationality, please request salesperson to complete Anti-Money Laundering and Counter Financing of Terrorism Risk Assessment Questionnaire.


 Signature(s) of A/C Holder(s) (For Individual or Joint A/C) /
 Authorised Signatory(ies) and/or company chop (For Corporate A/C) _____ Date _____

Input by _____ Approved by _____

Client please complete and submit this form through one of the following channels:

- ✧ Fax: (852) 3525 8451 / Email: customer.service@swhyhk.com
- ✧ Mail: Level 19, Five Pacific Place, 28 Hennessy Road, Hong Kong (Attn: Customer Service Department)