

選擇退出香港投資者識別碼制度

Opt-out of Hong Kong Investor Identification Regime (HKIDR)

致申萬宏源證券(香港)有限公司
To Shenwan Hongyuan Securities (H.K.) Limited

本人不同意「有關香港投資者識別碼制度及場外證券交易匯報制度之資料收集聲明」的聲明，請註銷本人的編配券商客戶編碼 (BCAN)。本人清楚知悉明白註銷本人的編配券商客戶編碼 (BCAN) 後的影響及後果，即申萬宏源證券(香港)有限公司不會或不能夠再 (視情況而定) 執行本人的交易指示或向本人提供證券相關服務，惟出售、轉出或提取本人現有的證券持倉 (如有) 除外，並會自行承擔所有和有關責任。申萬宏源證券(香港)有限公司在任何情況下均不會對本人因註銷本人的編配券商客戶編碼 (BCAN) 而負上任何責任。

I disagree the statement of "Information Collection Statement concerning Hong Kong Investor Identification Regime and the Over-the-counter Securities Transactions Reporting Regime", please terminate my BCAN. I clearly understand the effects and consequences of the termination of my BCAN - meaning that Shenwan Hongyuan Securities (H.K.) Limited will not, or will no longer be able to, as the case may be, carry out my trading instructions or provide me with securities related services (other than to sell, transfer out or withdraw my existing holdings of securities, if any) and will be responsible for all and the relevant liabilities. Shenwan Hongyuan Securities (H.K.) Limited will not under any circumstances be responsible for any and all relevant liabilities due to the termination of my BCAN.

客戶簽署 Signature of Client

客戶號碼 A/C No: _____

客戶姓名 Name of A/C Holder(s) : _____

日期 Date: _____