

选择退出香港投资者识别码制度

Opt-out of Hong Kong Investor Identification Regime (HKIDR)

致申万宏源证券(香港)有限公司
To Shenwan Hongyuan Securities (H.K.) Limited

本人不同意「有关香港投资者识别码制度及场外证券交易汇报制度之数据收集声明」的声明，请注销本人的编配券商客户编码 (BCAN)。本人清楚知悉明白注销本人的编配券商客户编码 (BCAN) 后的影响及后果，即申万宏源证券(香港)有限公司不会或不能够再 (视情况而定) 执行本人的交易指示或向本人提供证券相关服务，惟出售、转出或提取本人现有的证券持仓 (如有) 除外，并会自行承担所有和有关责任。申万宏源证券(香港)有限公司在任何情况下均不会对本人因注销本人的编配券商客户编码 (BCAN) 而负上任何责任。

I disagree the statement of "Information Collection Statement concerning Hong Kong Investor Identification Regime and the Over-the-counter Securities Transactions Reporting Regime", please terminate my BCAN. I clearly understand the effects and consequences of the termination of my BCAN - meaning that Shenwan Hongyuan Securities (H.K.) Limited will not, or will no longer be able to, as the case may be, carry out my trading instructions or provide me with securities related services (other than to sell, transfer out or withdraw my existing holdings of securities, if any) and will be responsible for all and the relevant liabilities. Shenwan Hongyuan Securities (H.K.) Limited will not under any circumstances be responsible for any and all relevant liabilities due to the termination of my BCAN.

客户签署 Signature of Client

客户号码 A/C No: _____

客户姓名 Name of A/C Holder(s) : _____

日期 Date: _____