

**Shenwan Hongyuan Securities (H.K.) Limited**  
**"Shen Yiying" Real Time Quotation Service Application Form**

Account Name:		Mobile Tel.:	
Account No.:		Effective Date:	

Hong Kong Real Time Quotation Trading Platform	Quotation Services	Applicable Region	Duration	Service Fee	New	Change	Cancel	Notes
"Shen Yiying" APP	Level 2	Mainland China Only	30 Days	HK\$38	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
"Shen Yiying" APP, Wynner Voyage	Level 2	No Limit	30 Days	HK\$308	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

U.S. Real Time Quotation Trading Platform	Quotation Services	Applicable Region	Duration	Service Fee (Non-Professional)	New	Change	Cancel	Notes
"Shen Yiying" APP, Wynner Voyage	Nasdaq Level 1	No Limit	30 Days	HK\$12	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	First-time users must complete the Non-Professional Recognition in the "Shen Yiying" APP to activate the quotation service

\*Please make sure your trading platform are up-to-date.

I/We acknowledge and confirm

- Application requests received by Shenwan Hongyuan Securities (H.K.) Limited after the 20<sup>th</sup> day of each month will be effective from the following month;
- If my/our trading turnover equals or exceeds the equivalent of HKD 2 million in the securities account for a calendar month, the selected real time quotation service fee will be refunded <sup>Note 1</sup>;
- The above services are provided by the respective vendor and I/We will be bound by the terms & conditions set by the vendor. Neither Shenwan Hongyuan Securities (H.K.) Limited nor any of its directors, officers, employees or agents shall have any liability whatsoever for any loss, expense or damage suffered by me/us arising out of or alleged to arise out of or in connection with any inconvenience, delay, malfunction, use or loss of use of the above services;
- The Mainland China Applicable Region only service(s) can be accessed from Mainland China only. Monthly Fee is net of rebate from the Stock Exchange of Hong Kong Limited ("SEHK") for PRC Investors. Shenwan Hongyuan Securities (H.K.) Limited reserves the right to adjust the applicable fee in accordance with changes announced by Shenwan Hongyuan Securities (H.K.) Limited;
- I/We agree to pay the first month of real time quotation service fee at the effective date and the service renew and ongoing payment will be charged on the first business day of each month. The payment of real time quotation service fee will be automatically deducted from the balance of the securities account. If there be an insufficient amount of relevant fees in the securities account, Shenwan Hongyuan Securities (H.K.) Limited has the right to terminate the real time quotation service account without prior notice; and
- The real time quotation service is bound to the above-mentioned mobile tel. number. Once the payment for the real time quotation service is made, the binding mobile tel. number and the service cannot be canceled, changed, or refunded.

Note 1: I/We can only receive one free Hong Kong stock and one U.S. stock real time quotation service, if more than one Hong Kong stock or one U.S. stock real time quotation services are subscribed, only the one charged with highest fees will be refunded.

Signature(s) of Client

Date:

For Official Use Only				
Sales/A.E.	Branch Manager	Responsible Officer	Customer Services	P/W issued on