

## Shenwan Hongyuan Securities (H.K.) Limited HK Stock Real Time Quotation Service Application Form

Account Name:	Account No.:	
Effective Date:		

Vendor		Hong Kong and Overseas User	Mainland China User	New	Change	Cancel	
	2 Go Trade	ade HK\$350 / Month HK\$240 / Month					
ET Net IQ	Hong Kong Real Time Quotation		HK\$380 / Month	HK\$260 / Month			
	Special Add On Service	Stock Options Quotation	HK\$90 / Month				

\*Please make sure your trading platform(s) is/are up-to-date.

I/We acknowledge and confirm

- 1. Application requests received by Shenwan Hongyuan Securities (H.K.) Limited after the 20<sup>th</sup> day of each month will be effective the following month;
- 2. If my/our monthly trading turnover equals or exceeds the equivalent of HKD 2 million (Hong Kong, Macau, Taiwan and overseas users) / HKD 1 million (Mainland China user) in the securities account, the quotation service fee of that month will be refunded Note 1.
  - Note 1: I/We shall be only entitled to one quotation service fee wavier of the basic package monthly charges (not including special add on service) each month, if more than one quotation services are subscribed, only the one charged with highest fees will be refunded.
- 3. The above services are provided by the respective vendor and I/We will be bound by the terms & conditions set by the vendor. Neither Shenwan Hongyuan Securities (H.K.) Limited nor any of its directors, officers, employees or agents shall have any liability whatsoever for any loss, expense or damage suffered by me/us arising out of or alleged to arise out of or in connection with any inconvenience, delay, malfunction, use or loss of use of the above services;
- 4. Mainland China Users can access the selected quotation service from Mainland China only. Monthly Fee is net of rebate from the Stock Exchange of Hong Kong Limited ("SEHK") for Mainland China users. Shenwan Hongyuan Securities (H.K.) Limited reserves the right to adjust the applicable fee in accordance with changes announced by SWSHK; and
- 5. I/We agree to pay the first month of quotation service fee at the effective date and the ongoing payment will be charged on the first business day of each month. If there be an insufficient amount of relevant fees in the securities account, Shenwan Hongyuan Securities (H.K.) Limited has the right to terminate the quotation service account without prior notice.

Signature(s) of Client Date:

For Official Use Only									
Sales/A.E.	Branch Manager	Responsible Officer	Customer Services	P/W issued on					

