To: Shenwan Hongyuan Securities (H.K.) Limited 申萬宏源證券(香港)有限公司 SLT/45/11-26

Securities Settlement Instruction 證券交收指示

Account Name (Account Number) 賬戶名稱 (賬戶號碼) :_____(_____)

☐ Please receive the following securities for my/our above account 請將下列證券接收到本人/吾等上述證券賬戶

☐ Please deliver the following securities for my/our above account 請由本人/吾等上述證券賬戶交付下列證券

Please input the following SI / ISI / USI transfer into the Central Clearing and Settlement System (CCASS) to effect settlement with details as follows 請將下列交收指示/投資者交收指示/持股易轉讓指示輸入中央結算及交收系統以便進行交收 :

Counter party name (CCASS number) or USI holder name (USI holder number)
對手名稱(中央結算系統號碼) 或 持股易賬戶持有人名稱 (持股易賬戶持有人號碼) :_____(_____)

For deposit into my/our account with them, account number 存入本人/吾等在對手經紀開設之賬戶, 賬戶號碼 :_____

☐ **Free of Payment 無須付款交收**

☐ **Against Payment Amount 貨銀對付交收**

Settlement Date 交收日期	**Stock Code** 股票號碼	**Stock Name** 股票名稱	**Quantity** 數量		**Stock/Cash Input Ref.**
				HKD	/
				HKD	/
				HKD	/
				HKD	/
				HKD	/
				HKD	/
				HKD	/

For USI share transfer, the Customer declares that the transfer involves no change in its beneficial ownership.
客戶聲明證券透過該持股易賬戶轉讓不涉及其實益擁有權的變更。

_____

Signature(s) of Customer or Authorised Person (with company chop where appropriate)
客戶簽署或授權人仕簽署 (公司蓋章, 如適用)

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For Official Use Only

Opening account balance Cr/(Dr) _____

Transaction amount + Charges Cr/(Dr)_____

Closing balance Cr/(Dr) _____

For Margin Account :

Margin Value after transfer _____

Available/(Margin Call) amount after transfer _____

Market Value _____ ***M%*** _____

SI / ISI Handling Charges **HKD** _____

SI / ISI CCASS Fee **HKD** _____-

Others **HKD** _____

Total **HKD** _____

Stock in/out Authorised by _____

Cash in/out Authorised by _____

Credit Approved by _____

Verify Signature _____ ***Confirmed with Client / Sales*** _____ ***Input CCASS system*** _____

Approved by Dealing Responsible Officer (if necessary) _____ ***Checker*** _____

CCASS Authorisation _____ ***Reviewed CCASS Status*** _____