



SWS STRATEGIC INVESTMENT FUNDS
(the “Fund”)

Shenyin Wanguo RMB Mainland Investment Fund
Shenyin Wanguo RQFII A Share Strategy Fund
(collectively, the “Sub-Funds”)

NOTICE TO UNITHOLDERS

This notice is important and requires your immediate attention. If you are in any doubt about the content of this notice, you should seek independent professional advice.

Shenwan Hongyuan Asset Management (Asia) Limited (the “Manager”) accepts full responsibility for the accuracy of the information contained in this document at the date of publication and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief there are no other facts the omission of which would make any statement misleading.

Capitalised terms used herein shall bear the same meanings as capitalised terms used in the Explanatory Memorandum of the Sub-Funds (as the case may be) dated 30 April 2025.

30 April 2025

Dear Unitholders,

We are writing to inform you of the following changes (“**Changes**”) to the Sub-Funds.

1. Change to Shenyin Wanguo RMB Mainland Investment Fund (“SRMI”) – Cap on ongoing charges figure

With effect from 1 January 2025, the ongoing charges in respect of SRMI are capped at 3.00% of the average net asset value of SRMI. Any ongoing expenses of SRMI will be borne by the Manager and will not be charged to SRMI if such expense would result in the ongoing charges figure exceeding 3.00%. An increase or removal of the cap is subject to prior approval of the SFC and one month’s prior written notice to unitholders.

The Manager believes that the implementation of such cap is in the best interests of unitholders of SRMI. The implementation will not have any material adverse impact on the existing investors’ rights or interests and there will not be any material change or increase in the overall risk profile of SRMI following the implementation.

2. Change of Auditor of the Sub-Funds

With effect from 26 February 2025, KPMG has replaced PricewaterhouseCoopers as the Auditor of the Sub-Funds. The office address of KPMG is 8/F, Prince's Building, 10 Chater Road, Central, Hong Kong.

The abovementioned change would not have any material impact on the Sub-Funds and the Unitholders.

3. Change of directors of the Manager

Mr. Shen Chong, the former director of the Manager, has resigned as a director of the Manager with effect from 5 December 2024. Currently, the directors of the Manager are Mr. Liang Jun and Mr. Xia Mingrui.

4. Update to the minimum monthly Trustee Fee of Shenyin Wanguo RMB Mainland Investment Fund

With effect from 1 January 2025, the minimum monthly Trust Fee of Shenyin Wanguo RMB Mainland Investment Fund has been changed from USD4,000 for each class to RMB33,000(or equivalent) for two classes.

Availability of documents

The Explanatory Memorandum of the Sub-Funds and the product key facts statement of SRMI have been amended to reflect the above Changes.

The offering documents of the Sub-Funds (comprising the Explanatory Memorandum and product key facts statement of the Sub-Funds) are available at the office of the Manager at 6/F, Three Pacific Place, 1 Queen's Road East, Wan Chai, Hong Kong during normal office hours (Monday to Friday (excluding public holidays), from 9:00 a.m. to 5:30 p.m.).

Should you have any questions about the above, please contact us at 852 2509 8372.

Shenwan Hongyuan Asset Management (Asia) Limited