

SWS STRATEGIC INVESTMENT FUNDS

Shenyin Wanguo RMB Mainland Investment Fund

REDEMPTION FORM

SWS STRATEGIC INVESTMENT FUNDS
(the “Relevant Fund(s)”)

REDEMPTION AMOUNT

SHENYIN WANGUO RMB MAINLAND INVESTMENT FUND – Class A RMB _____

SHENYIN WANGUO RMB MAINLAND INVESTMENT FUND – Class I RMB _____

Unitholder : _____ (Please complete in BLOCK LETTERS)

Passport / ID No _____

Holder(s) A/C No.: _____

Contact Details : (Home/Office) _____ (Mobile) _____ (E-mail) _____

Register Address: _____

- I/We would like to redeem the amounts/units of the above fund
- For the redemption proceeds, please
 - () send a cheque in RMB payable to the Unitholder(s) at my/our own risk to the address below
 - () settle in RMB by deposit/TT* to my our account as follows (all relevant expenses are to be paid out of the proceed, and TT rate will be used where appropriate):

Correspondent Bank Name

SWIFT code

Beneficiary Bank Name

Beneficiary Bank Address

SWIFT code

Beneficiary A/C Number

Beneficiary A/C Name

Remarks

(the “Beneficiary Account Name” must be the same as the underneath “Unitholder”, no third party payment allowed)

Please note that by giving fax authorization, I/we confirm and acknowledge that (1) facsimile instructions are not secure means of communication, and that I/we are aware of the risk involved, and that my/our request to the Manager/Transfer Agent to accept such facsimile instructions is for my/our convenience; (2) Transfer Agent is hereby authorised to act on any facsimile instruction which the Manager, at its sole discretion, believes emanate from me/us, the Transfer Agent shall not be required to act upon any facsimile instruction which emanate from unauthorized persons; (3) any transaction made pursuant to a facsimile instruction acted upon in good faith and in the absence of negligence default or fraud shall be binding upon me/us whether made with or without my/our authority, knowledge or consent; (4) the Unitholder undertakes to keep the Manager/Transfer Agent indemnified at all times against, and to save the Manager/Transfer Agent harmless from all actions, proceedings, claims, losses, damages, costs and expenses which may be brought against the Manager/Transfer Agent or suffered or incurred by the Manager/Transfer Agent and which shall have arisen either directly or indirectly out of or in connection with the Manager’s/Transfer Agent’s accepting facsimile instructions and acting thereon, whether or not the same are confirmed in writing by me/us. (5) Partial redemptions may be effected subject to any minimum redemption amount for each class of Units of a Sub-Fund in the relevant Appendix of the Explanatory Memorandum of the Fund.

NOTE: Unitholders should for their own benefit confirm with the Manager or its authorised agent the receipt of the fax notices.

1st Applicant Signature: _____

2nd Applicant Signature: _____ (in case of joint holders, all of the unitholders must sign it)

Registered address has been changed, and please have it updated.

*Delete / Tick whichever is inapplicable