



SHENWAN HONGYUAN (H.K.) LIMITED

申萬宏源（香港）有限公司

(Incorporated in Hong Kong with limited liability)

(Stock code: 218)

LIST OF DIRECTORS AND THEIR ROLE AND FUNCTION

The members of the board of directors (the “**Board**”) of Shenwan Hongyuan (H.K.) Limited are set out below:

Executive Directors

Mr. Fang Qingli (Chairman)

Mr. Guo Chun (Deputy Chairman)

Mr. Zhang Jian

Ms. Wu Meng

Mr. Qiu Yizhou (Chief Executive Officer)

Non-Executive Director

Mr. Zhang Lei

Independent Non-Executive Directors

Mr. Ng Wing Hang Patrick

Mr. Kwok Lam Kwong Larry

Mr. Chen Liqiang

There are 7 Board committees. The table below provides membership information of these Committees on which each Board member serves:

| Board Committee | Audit Committee | Remuneration Committee | Nomination Committee | Risk Committee | Environmental, Social and Governance Committee | Executive Committee | Management Committee |
|--------------------------|-----------------|------------------------|----------------------|----------------|--|---------------------|----------------------|
| Directors | | | | | | | |
| Mr. Fang Qingli | | | Chairman | | Chairman | Chairman | |
| Mr. Guo Chun | | | | Member | Member | Member | |
| Mr. Zhang Jian | | | | | | Member | |
| Ms. Wu Meng | | | | | | Member | |
| Mr. Qiu Yizhou | | | | Member | Member | Member | Chairman |
| Mr. Zhang Lei | | | | | | | |
| Mr. Ng Wing Hang Patrick | Chairman | Member | Member | Chairman | | | |
| Mr. Kwok Lam Kwong Larry | Member | Chairman | Member | Member | Member | | |
| Mr. Chen Liqiang | Member | Member | Member | Member | Member | | |

Hong Kong, 19 March 2021