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SHENWAN HONGYUAN (H.K.) LIMITED
申萬宏源(香港)有限公司

(Incorporated in Hong Kong with limited liability)

(Stock code: 218)

**SUPPLEMENTAL ANNOUNCEMENT IN RELATION TO THE
DISCLOSURE PURSUANT TO RULES 13.13 AND 13.15 OF THE
LISTING RULES**

Reference is made to the announcement of Shenwan Hongyuan (H.K.) Limited (the “**Company**”) dated 2 November 2020 (the “**Announcement**”) in relation to the Advances made to the Clients. Unless the context requires otherwise, capitalised terms used in this announcement shall have the same meaning as those defined in the Announcement.

The Company would like to provide the following additional information of the Advances:

One of the Clients, Grand Thrive Group Limited (“**Grand Thrive**”), is a company incorporated under the laws of the British Virgin Islands with limited liability. The ultimate beneficial owner of Grand Thrive is Mr. Yang Hongpeng (楊宏鵬). To the best knowledge, information and belief of the directors of the Company and having made all reasonable enquiries, Mr. Yang Hongpeng is a third party independent of the Company and its connected persons (as defined under the Listing Rules).

The above additional information does not affect other information contained in the Announcement and, saved as disclosed in this announcement, the contents of the Announcement remain correct and unchanged.

By order of the Board

Shenwan Hongyuan (H.K.) Limited

Qiu Yizhou

Executive Director & Chief Executive Officer

Hong Kong, 6 November 2020

As at the date of this announcement, the board of directors of the Company comprises nine directors, of which Mr. Chen Xiaosheng, Mr. Zhang Jian, Mr. Guo Chun, Ms. Wu Meng and Mr. Qiu Yizhou are executive directors; Mr. Zhang Lei is the non-executive director; Mr. Ng Wing Hang Patrick, Mr. Kwok Lam Kwong Larry and Mr. Chen Liqiang are independent non-executive directors.