



SHENWAN HONGYUAN (H.K.) LIMITED
申萬宏源（香港）有限公司

(Incorporated in Hong Kong with limited liability)

(Stock code: 218)

LIST OF DIRECTORS AND THEIR ROLE AND FUNCTION
(Effective 10 August 2019)

The members of the board of directors (the “**Board**”) of Shenwan Hongyuan (H.K.) Limited are set out below:

Executive Directors

Mr. Chen Xiaosheng (Chairman)
 Mr. Zhang Jian
 Mr. Guo Chun (Deputy Chairman)
 Ms. Wu Meng
 Mr. Qiu Yizhou (Chief Executive Officer)

Non-executive Director

Mr. Zhang Lei

Independent Non-executive Directors

Mr. Ng Wing Hang Patrick
 Mr. Kwok Lam Kwong Larry
 Mr. Chen Liqiang

There are 6 Board committees. The table below provides membership information of these Committees on which each Board member serves:

Board Committee	Audit Committee	Remuneration Committee	Nomination Committee	Risk Committee	Executive Committee	Management Committee
Directors						
Mr. Chen Xiaosheng			Chairman		Chairman	
Mr. Zhang Jian					Member	
Mr. Guo Chun				Member	Member	
Ms. Wu Meng					Member	
Mr. Qiu Yizhou				Member	Member	Chairman
Mr. Zhang Lei						
Mr. Ng Wing Hang Patrick	Chairman	Member	Member	Chairman		
Mr. Kwok Lam Kwong Larry	Member	Chairman	Member	Member		
Mr. Chen Liqiang	Member	Member	Member	Member		

Hong Kong, 9 August 2019