



**SHENWAN HONGYUAN (H.K.) LIMITED**

申萬宏源(香港)有限公司

(Incorporated in Hong Kong with limited liability)

(Stock Code: 218)

**LIST OF DIRECTORS AND THEIR ROLE AND FUNCTION**

The members of the board of directors (the “**Board**”) of Shenwan Hongyuan (H.K.) Limited are set out below:

**Executive Directors**

- Mr. Zhu Minjie (Chairman)
- Mr. Chen Xiaosheng
- Mr. Zhang Jian
- Mr. Guo Chun (Deputy Chairman)
- Mr. Qiu Yizhou (Chief Executive Officer)

**Non-executive Director**

- Mr. Zhang Lei

**Independent Non-executive Directors**

- Mr. Ng Wing Hang Patrick
- Mr. Kwok Lam Kwong Larry
- Mr. Chen Liqiang

There are 6 Board committees. The table below provides membership information of these Committees on which each Board member serves:

<b>Board Committee</b>	<b>Audit Committee</b>	<b>Remuneration Committee</b>	<b>Nomination Committee</b>	<b>Risk Committee</b>	<b>Executive Committee</b>	<b>Management Committee</b>
<b>Directors</b>						
Mr. Zhu Minjie			Chairman		Chairman	
Mr. Chen Xiaosheng					Member	
Mr. Zhang Jian					Member	
Mr. Guo Chun				Member	Member	
Mr. Qiu Yizhou				Member	Member	Chairman
Mr. Zhang Lei						
Mr. Ng Wing Hang Patrick	Chairman	Member	Member	Chairman		
Mr. Kwok Lam Kwong Larry	Member	Chairman	Member	Member		
Mr. Chen Liqiang	Member	Member	Member	Member		

Hong Kong, 28 November 2018