



SHENWAN HONGYUAN (H.K.) LIMITED

申萬宏源(香港)有限公司

(Incorporated in Hong Kong with limited liability)

(Stock Code: 218)

LIST OF DIRECTORS AND THEIR ROLE AND FUNCTION (Effective 11 August 2018)

The members of the board of directors (the “**Board**”) of Shenwan Hongyuan (H.K.) Limited are set out below:

Executive Directors

Mr. Zhu Minjie (Chairman)

Mr. Chen Xiaosheng

Mr. Guo Chun (Deputy Chairman)

Mr. Qiu Yizhou (Chief Executive Officer)

Non-executive Director

Mr. Zhang Lei

Independent Non-executive Directors

Mr. Ng Wing Hang Patrick

Mr. Kwok Lam Kwong Larry

Mr. Zhuo Fumin

There are 6 Board committees. The table below provides membership information of these Committees on which each Board member serves:

Board Committee Directors	Audit Committee	Remuneration Committee	Nomination Committee	Risk Committee	Executive Committee	Management Committee
Mr. Zhu Minjie			Chairman		Chairman	
Mr. Chen Xiaosheng					Member	
Mr. Guo Chun				Member	Member	
Mr. Qiu Yizhou				Member	Member	Chairman
Mr. Zhang Lei						
Mr. Ng Wing Hang Patrick	Chairman	Member	Member	Chairman		
Mr. Kwok Lam Kwong Larry	Member	Chairman	Member	Member		
Mr. Zhuo Fumin	Member	Member	Member	Member		

Hong Kong, 10 August 2018