

Important Notice

Beware of scams impersonating Shenwan Hongyuan (H.K.) Limited and against deceptions

Dear Clients & Investors,

Shenwan Hongyuan (H.K.) Limited and its subsidiaries (collectively referred to as "our Group Companies") hereby remind all clients and investors to pay attention and stay vigilant against suspected scams involving fraudsters impersonating our Group Companies and/or our Group Companies' employees to induce others to network fraudulent activities scam such as transferring money to their bank accounts for investment and/or completing their forged investment forms to obtain personal details, etc.

You are advised to visit us or contact us or enquire about our Group Companies' products and services through the following official channels:

• Official Website: https://www.swhyhk.com

• Official WeChat Account: 申万宏源香港(WeChat ID:Sws218hk)

• Mobile App: 申易赢

• eService: https://es.swhyhk.com/#/

• CS Hotline: (852) 2250-8298/400-120-0363

• CS Email: customer.service@swhyhk.com

• 24-Hour Dealing Hotline: (852) 2841-6388/400-120-0364

• Institutional Clients: (852) 2841-5184 or check with your relative Account Executive.

We will not ask you to provide via hyperlinks sensitive personal information, including login credentials and one-time passwords. You should not to disclose your account login information to any unverified websites, even if they look genuine. If any person attempts to claim himself/herself as a staff of our Group Companies and requests for your personal data and/or your bank information whilst inducing you to invest in any investment products and/or transfer money for the investment, stay vigilant and verify his/her identity by contacting us if you are in doubt. You may also report the suspected crime/scam activities to the local law enforcement authorities. Our Group Companies hereby expressly reserve the right to use any legal means to protect our Group Companies' interests when fraudulent activities are identified.