

W-8BEN – 美国预扣税及申报实益拥有人之外籍身分证明(个人)

本文件的目的是提供一些示例，说明如何填写 W-8BEN 表格。

提交新 W-8BEN 表格的一般需要注意事项

1. 表格均必须以英文填写。
2. 如为联名户口，每位户口持有人必须分别填写一份表格。
3. 表格必须准确填写，不得涂改。

样本 1 – 居住在中国

Form W-8BEN Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)

(Rev. October 2021) OMB No. 1545-1621

Department of the Treasury Internal Revenue Service

► For use by individuals. Entities must use Form W-8BEN-E.
► Go to www.irs.gov/FormW8BEN for instructions and the latest information.
► Give this form to the withholding agent or payer. Do not send to the IRS.

Do NOT use this form if:

- You are NOT an individual
- You are a U.S. citizen or other U.S. person, including a resident alien individual
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the United States (other than personal services)
- You are a beneficial owner who is receiving compensation for personal services performed in the United States
- You are a person acting as an intermediary

Instead, use Form:

- W-8BEN-E
- W-9
- W-8ECI
- 8233 or W-4
- W-8IMY

Note: If you are resident in a FATCA partner jurisdiction (that is, a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.

Part I Identification of Beneficial Owner (see instructions)

1 Name of individual who is the beneficial owner
CHEN Dawen

2 Country of citizenship
China

3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.
Beijing City, East District, Mingdu Road, Hengda Garden, 7th Building, Room 702
City or town, state or province. Include postal code where appropriate. Country
Beijing 10001 **China**

4 Mailing address (if different from above)
City or town, state or province. Include postal code where appropriate. Country

5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)

6a Foreign tax identifying number (see instructions)
020903200, 020903201

6b Check if FTIN not legally required

7 Reference number(s) (see instructions)
020903200, 020903201

8 Date of birth (MM-DD-YYYY) (see instructions)

Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)

9 I certify that the beneficial owner is a resident of **China** within the meaning of the income tax treaty between the United States and that country.

10 Special rates and conditions (if applicable—see instructions): The beneficial owner is claiming the provisions of Article and paragraph of the treaty identified on line 9 above to claim a % rate of withholding on (specify type of income):
Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding:

Part III Certification


Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which this form relates or am using this form to document myself for chapter 4 purposes;
- The person named on line 1 of this form is not a U.S. person;
- This form relates to:
 - (a) income not effectively connected with the conduct of a trade or business in the United States;
 - (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty;
 - (c) the partner's share of a partnership's effectively connected taxable income; or
 - (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f);
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.

I certify that I have the capacity to sign for the person identified on line 1 of this form.

Sign Here


Signature of beneficial owner (or individual authorized to sign for beneficial owner)

CHEN Dawen
Print name of signer

10-31-2021
Date (MM-DD-YYYY)

A. 请详阅本节和相关指引，以确保使用正确的 W 表格。

B. 第一部分(实益拥有人身分)

第 1 栏 全名(姓名) (必需填写)

第 2 栏 国籍 (必需填写)

注意:此栏必须填写为"China", 才有资格申请 10%的预扣税率。

A

第 3 栏 在第 1 栏填写完整的街道地址，在第二行填写城市或城镇、州或省，包括邮政编码。(必需填写)

注意:国家栏必须填写为"China", 才有资格申请 10%的预扣税率。

第 4 栏 如果您的邮寄地址与永久居住地址不同，请填写邮寄地址。(可不填写)

第 6b 栏 请勾选此方格如无需提供中国居民身份证明

第 8 栏 填写您的出生日期(月月/日日/年年年年)(必需填写)

B

C. 第二部分(实益拥有人身分)

第 9 栏 您的税务居住国(必需填写)

注意:此栏必须填写为"China", 才有资格申请 10%的预扣税率。

D. 第三部分(证明)

1. 请在表格上签名，并在签名下方的横线上以正楷工整书写姓名。


2. 请以月月/日日/年年年年的格式填写日期。

3. 请勾选“我证明我有能力代表本表格第 1 栏所列人员签字”。

C

D

样本 2 - 居住在中国以外地方

Form W-8BEN (Rev. October 2021) Department of the Treasury Internal Revenue Service	Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals) ► For use by individuals. Entities must use Form W-8BEN-E. ► Go to www.irs.gov/FormW8BEN for instructions and the latest information. ► Give this form to the withholding agent or payer. Do not send to the IRS.	OMB No. 1545-1621
Do NOT use this form if:		Instead, use Form:
• You are NOT an individual		W-8BEN-E
• You are a U.S. citizen or other U.S. person, including a resident alien individual		W-9
• You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the United States (other than personal services)		W-8ECI
• You are a beneficial owner who is receiving compensation for personal services performed in the United States		8233 or W-4
• You are a person acting as an intermediary		W-8IMY
Note: If you are resident in a FATCA partner jurisdiction (that is, a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.		
Part I Identification of Beneficial Owner (see instructions)		
1 Name of individual who is the beneficial owner CHEN Xiaowen	2 Country of citizenship China	
3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address. Bedok North Green, 545, Bedok North Street 3, Singapore 460545		
City or town, state or province. Include postal code where appropriate.		Country Singapore
4 Mailing address (if different from above)		
City or town, state or province. Include postal code where appropriate.		Country
5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)		
6a Foreign tax identifying number (see instructions) 32747474945788974X	6b Check if FTIN not legally required <input type="checkbox"/>	
7 Reference number(s) (see instructions) 0464103002021	8 Date of birth (MM-DD-YYYY) (see instructions) 09-12-1974	
Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)		
9 I certify that the beneficial owner is a resident of China within the meaning of the income tax treaty between the United States and that country.		
10 Special rates and conditions (if applicable—see instructions): The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 9 above to claim a _____ % rate of withholding on (specify type of income):		
Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding:		
Part III Certification		
Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:		
• I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which this form relates or am using this form to document myself for chapter 4 purposes;		
• The person named on line 1 of this form is not a U.S. person;		
• This form relates to:		
(a) income not effectively connected with the conduct of a trade or business in the United States;		
(b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty;		
(c) the partner's share of a partnership's effectively connected taxable income; or		
(d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f);		
• The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and		
• For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.		
Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.		
Sign Here	<input checked="" type="checkbox"/> I certify that I have the capacity to sign for the person identified on line 1 of this form.	
	Signature of beneficial owner (or individual authorized to sign for beneficial owner)	11-30-2021 Date (MM-DD-YYYY)
CHEN Xiaowen	Print name of signer	

A. 请详阅本节和相关指引，以确保使用正确的 W 表格。

B. 第一部分(实益拥有人身分)

第 1 栏 全名(姓名) (必需填写)

第 2 栏 国籍 (必需填写)

注意: 此栏必须填写为"China", 才有资格申请 10%的预扣税率。

第 3 栏 在第 1 栏填写完整的街道地址, 在第二行填写城市或城镇、州或省, 包括邮政编码。(必需填写)

注意: 如果此栏填写为"China"以外的其他国家, 为了享受 10% 的预扣税率, 第 6 栏必需填写。

第 4 栏 如果您的邮寄地址与永久居住地址不同, 请填写邮寄地址。(可不填写)

第 6a 栏 填写您的中国纳税人识别号 (必需填写)

注意: 此信息用于证明客户仍然是中国的税务居民。客户需提供《中国税收居民身份证明》以作核实。但客户是否享受 10% 的预扣税率仍要视乎我们的合规部或外部咨询的评估。

第 8 栏 填写您的出生日期 (月/日/年年年年) (必需填写)

C. 第二部分 (实益拥有人身分)

第 9 栏 您的税务居住国 (必需填写)

注意: 此栏必须填写为"China", 才有资格申请 10%的预扣税率。

D. 第三部分 (证明)

1. 请在表格上签名, 并在签名下方的横线上以正楷工整书写姓名。

2. 请以月/日/年年年年的格式填写日期。

3. 请勾选“我证明我有能力代表本表格第 1 栏所列人员签字”。